registered scientific institution for future scientific reference.

## § 23.49 What are the requirements for an exhibition traveling internationally?

- (a) Purpose. Article VII(7) of the Treaty grants an exemption for specimens that qualify as bred in captivity, artificially propagated, or pre-Convention and are part of a traveling exhibition.
- (b) *U.S.* and foreign general provisions. The following general provisions apply to the issuance and acceptance of a certificate for live wildlife and plants, or their parts, products, or derivatives in an exhibition that travels internationally:
- (1) The Management Authority in the country of the exhibitor's primary place of business must have determined that the specimens are bred in captivity, artificially propagated, or pre-Convention and issued a traveling-exhibition certificate.
- (2) The certificate must indicate that the wildlife or plant is part of a traveling exhibition.
- (3) A separate certificate must be issued for each live wildlife specimen; a CITES document may be issued for more than one specimen for a traveling exhibition of live plants and dead parts, products, or derivatives of wildlife and plants.
  - (4) The certificate is not transferable.
- (5) Parties should treat the certificate like a passport for import and export or re-export from each country, and should not collect the original certificate at the border.
- (6) Parties should check specimens closely to determine that each specimen matches the certificate and ensure that each live specimen is being transported and cared for in a manner that minimizes the risk of injury, damage to health, or cruel treatment of the specimen.
- (7) If offspring are born or a new specimen is acquired while the traveling exhibition is in another country, the exhibitor must obtain the appropriate CITES document for the export or reexport of the specimen from the Management Authority of that country.
- (8) Upon returning home, the exhibitor may apply for a traveling-exhi-

bition certificate for wildlife born overseas or for wildlife or plants acquired overseas.

- (c) *U.S. application form.* Complete Form 3–200–30 for wildlife and Form 3–200–32 for plants, and submit it to the U.S. Management Authority.
- (d) Criteria. The criteria in this paragraph (d) apply to the issuance and acceptance of U.S. and foreign certificates. When applying for a U.S. certificate, you must provide sufficient information for us to find that your proposed activity meets all of the following criteria:
- (1) The traveling exhibition makes multiple cross-border movements, and will return to the country in which the exhibition is based before the certificate expires.
- (2) The cross-border movement must be for exhibition, and not for breeding, propagating, or activities other than exhibition.
- (3) The traveling exhibition is based in the country that issued the certificate
- (4) The specimen meets the criteria for a bred-in-captivity certificate, certificate for artificially propagated plants, or pre-Convention certificate.
- (5) The exhibitor does not intend to sell or otherwise transfer the wildlife or plant while traveling internationally.
- (6) The wildlife or plant is securely marked or identified in such a way that border officials can verify that the certificate and specimen correspond. If a microchip is used, we may, if necessary, ask the importer, exporter, or re-exporter to have equipment on hand to read the microchip at the time of import, export, or re-export.
- (e) *U.S. standard conditions*. In addition to the conditions in § 23.56, you must meet all of the following conditions:
- (1) The certificate may be used by you, and you must not transfer or assign it to another person or traveling exhibition.
- (2) You must transport the specimen internationally only for exhibition, not for breeding, propagating, or activities other than exhibition.
- (3) You must present the certificate to the official for validation at each border crossing.

## § 23.50

- (4)For live plants, the quantity of plants must be reasonable for the purpose of the traveling exhibition.
- (5) You must not sell or otherwise transfer the specimen, or any offspring born to such specimen, while traveling internationally.
- (6) If the certificate is lost, stolen, or accidentally destroyed, you may obtain a replacement certificate only from the U.S. Management Authority.
- (7) If you no longer own the wildlife or plants, or no longer plan to travel as a traveling exhibition, the original certificate must be immediately returned to the U.S. Management Authority.
- (8) You must return the traveling exhibition to the United States before the certificate expires.

## § 23.50 What are the requirements for a sample collection covered by an ATA carnet?

- (a) *Purpose*. Article VII(1) of the Treaty allows for the transit of specimens through or within a Party country while the specimens remain under customs control.
- (b) *Definition*. For purposes of this section, *sample collection* means a set of legally acquired parts, products, or derivatives of Appendix-II or -III species, or Appendix-I species bred in captivity or artificially propagated for commercial purposes, that will:
- (1) Cross international borders only for temporary exhibition or display purposes and return to the originating country.
- (2) Be accompanied by a valid ATA carnet and remain under customs control
- (3) Not be sold or otherwise transferred while traveling internationally.
- (c) *U.S.* and foreign general provisions. The following general provisions apply to the issuance and acceptance of a CITES document for the movement of sample collections:
- (1) The Management Authority in the country where the sample collection originated must issue a CITES document that:
- (i) Clearly specifies that the document was issued for a "sample collection."
- (ii) Includes the condition in block 5, or an equivalent place, of the document that it is valid only if the shipment is

- accompanied by a valid ATA carnet and that the specimens must not be sold, donated, or otherwise transferred while outside the originating country.
- (2) The number of the accompanying ATA carnet must be recorded on the CITES document, and if this number is not recorded by the Management Authority, it must be entered by a customs or other CITES enforcement official responsible for the original endorsement of the CITES document.
- (3) The name and address of the exporter or re-exporter and importer must be identical, and the names of the countries to be visited must be indicated in block 5 or an equivalent place.
- (4) The date of validity must not be later than that of the ATA carnet and the period of validity must not exceed 6 months from the date of issuance.
- (5) At each border crossing, Parties must verify the presence of the CITES document, but allow it to remain with the shipment, and ensure that the ATA carnet is properly endorsed with an authorized stamp and signature by a customs official.
- (6) The exporter or re-exporter must return the sample collection to the originating country prior to the expiration of the CITES document.
- (7) Parties should check the CITES document and sample collection closely at the time of first export or re-export and upon its return to ensure that the contents of the sample collection have not been changed.
- (8) For import into and export or reexport from the United States, the shipment must comply with the requirements for wildlife in part 14 of this subchapter and for plants in part 24 of this subchapter and 7 CFR parts 319, 352, and 355.
- (d) *U.S. application form.* Complete Form 3–200–29 for wildlife and Form 3–200–32 for plants, and submit it to the U.S. Management Authority.
- (e) Criteria. The criteria in this paragraph (e) apply to the issuance and acceptance of U.S. and foreign documents. When applying for a U.S. document, you must provide sufficient information for us to find that your proposed activity meets all of the following criteria: